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NEWS LETTER

November Story

System Certification | Product Certification | Lifelong Education Institute



ICR
INTERNATIONAL CERTIFICATION REGISTRAR

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내일을 만들어 가는 기업

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ISO 9001:2026 Auditor Transition Training Course Guide



▣ ISO 9001:2026 Revision — What Has Changed?

The 2026 revision retains the core framework while clarifying requirements for quality culture, ethical behavior, risk and opportunity management, and employee awareness.

ICR's online transition course covers key revisions and practical audit considerations.

ISO 9001:2026 Auditor Transition Training Course Guide

▣ Building Auditor Competence for the Revised Standard

ISO 9001 auditors must understand the revised requirements and assess their implementation within an organization's quality management system.

This course explains the key differences between previous and revised requirements and their application in audit preparation and performance.

※ *Training and assessment requirements for auditor qualification transition are determined by ICR's qualification management criteria.*

Auditor Qualification Maintenance

Maintain qualification validity and ensure readiness for future audits.

Practical Audit Competence

Strengthen audit capability based on revised requirements.

Early Completion Recommended

Prepare in advance for continued audit activities.

**** Completion certificates alone do not guarantee auditor qualification maintenance. Auditors must fulfill applicable requirements for future audit activities.***

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ISO 9001:2026 Auditor Transition Training Course Guide

▣ ISO 9001:2026 — What Has Changed?

The 2026 revision maintains the core **Harmonized Structure** while clarifying clause-specific requirements to reflect evolving global regulations and supply chain due diligence standards.

▣ Key Changes in ISO 9001:2026

Clause	Key Revision	Details
5.1 Leadership and Commitment	Quality Culture and Ethical Behavior	Emphasizes quality culture and ethical behavior in leadership and employee contributions to QMS effectiveness.
5.2 Policy	Alignment with Strategic Direction	Strengthens alignment between the quality policy, organizational context, and strategic direction.
6.1 Risks and Opportunities	Clearer Distinction	Distinguishes risk-related activities from opportunity-seeking activities to support decision-making and improvement.
7.3 Awareness	Quality Culture and Ethical Behavior	Expands awareness of the importance of quality culture and ethical behavior among personnel.
Annex A	Clarification of Requirements	Provides additional explanations of key concepts, terminology, and the intent of requirements to support consistent interpretation and application.

※ The core principles of customer focus, process approach, risk-based thinking, and continual improvement remain unchanged. Climate change considerations introduced in the 2024 amendment continue to apply.

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ISO 9001:2026 Auditor Transition Training Course Guide

ICR has developed this official transition training course to support auditor qualification renewal and practical application in the field. The course overview and detailed curriculum are provided below.

▣ Who Should Attend?

- **ISO 9001 Certified Auditors and Lead Auditors (Mandatory)**
- Environmental management system managers and internal auditors
- Personnel responsible for ISO 14001:2026 transition and implementation

▣ Course Overview

Category	Details
Course	**[ICR Developed]** ISO 9001:2026 Auditor Transition Training Course
Format	**100% Online Video Training** (Approx. 4 Hours)
Access Period	30일 days (Self-paced learning available)
Benefit	Recognized for transition training and qualification renewal requirements (No additional evaluation required)

ISO 9001:2026 Auditor Transition Training Course Guide

▣ Key Learning Topics

Category	Key Contents
Revision Overview	Background and key changes of ISO 9001:2026
Requirements Interpretation	Comparison of previous and revised requirements and application considerations
Audit Application	Audit points and objective evidence for revised requirements
Understanding Assessment	Review of learning content and assessment of understanding of revised requirements

ISO 9001:2026 Auditor Transition Training Course Guide

▣ Why Choose ICR Lifelong Education Center?

ICR's Unique Advantages

POINT 01 Training Completion and Assessment Requirements Recognized Together

Unlike other organizations requiring separate evaluations after training, ICR's online course enables participants to complete both training and transition qualification requirements through a single process.

POINT 02 ICR-Developed Official Course: Practical and Standard-Focused

Developed by ICR based on certification audit experience and standard interpretation expertise.
The course covers not only revised requirements but also practical audit application, auditor judgment, document guidance, and field examples.

POINT 03 Flexible Learning Through 100% Online Video Training

Designed for auditors and professionals with limited time due to work commitments.
Complete the course anytime, anywhere within the designated period (30 days) using a PC or mobile device.

Supporting Sustainable Growth Through ICR Certification Services

With growing requirements for climate change response and supply chain management, ICR provides professional certification services based on audit expertise, supporting organizations from audit planning through certification and surveillance.

☎ Inquiries
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Self-Declaration of Conformity (KCs)



▣ What Is Self-Declaration of Conformity?

Manufacturers or importers of designated machinery verify compliance with safety standards and file with **KOSHA.**

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Self-Declaration of Conformity (KCs)

▣ "Self-Declaration" Does Not Mean Optional

"Self" means manufacturers or importers verify compliance.

Filing is mandatory unless an exemption applies.

Who Must File	When to File
Manufacturer or Importer	Before shipment or import

▣ Machinery Subject to Self-Declaration

Machinery Subject to Self-Declaration	
Grinders or Polishers (excl. handheld types)	Industrial Robots
Mixers	Crushers or Pulverizers
Food Processing Machinery (crushing, cutting, mixing, noodle-making)	Conveyors
Vehicle Service Lifts	Machine Tools (lathes, drills, planers, shapers, milling machines)
Stationary Woodworking Machinery (circular saws, planers, routers, band saws, chamferers)	Printing Machines

Self-Declaration of Conformity (KCs)



Industrial Robots

Automated pick-and-place robots
Fixed robots with 3+ axes and a dedicated programmable controller

Conveyors

Move items continuously via belts / rollers. Specified powered types are covered Travel distances of 3 m or less are excluded

※ Detailed applicability is determined by the criteria for each machinery type.

Conveyor Nameplate Example

Product / Model	Conveyor / CV-01
Manufacturer	Example Manufacturer
Rated Power Supply	3-phase AC 380 V, 60 Hz
Conveying Capacity	100 kg/h
Serial No. / Mfg. Date	SAMPLE-001 / 2026.10
Self-Declaration No.	Number assigned after filing

Self-Declaration of Conformity (KCs)

▣ What Tests and Assessments Are Required?

Prepare verification, test, and assessment results under applicable safety standards.

01

Electrical Safety Tests

Ground continuity, insulation resistance, and dielectric withstand

02

EMC Testing

Electromagnetic immunity, etc. (when applicable)

03

Risk Assessment

Identify machinery hazards and review appropriate safeguarding measures

※ Tests, assessments, and required documents vary by machinery type and applicable standards.

☎ Inquiries

Customer Support Team

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Can We Change Our ISO Certification Body?

Q. Must we repeat Stage 1 and 2 audits when transferring?

Q. Could a certification lapse disrupt tenders or deliveries?

Organizations with valid accredited certification can transfer under IAF MD 2, retaining their certification cycle and registration date without repeating the initial audit.

▣ Three Key Principles of Certification Transfer

01. Maintaining Certification Continuity

Valid certificates under IAF MLA signatory accreditation can be transferred after a preliminary review without repeating Stage 1 and Stage 2 audits.

02. Retaining the Existing Certification Cycle

The existing three-year certification cycle and original registration date are retained unless issues are identified.

03. Minimizing Certification Gaps

The existing certificate remains valid during the transfer, and the new certificate replaces it upon issuance.

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Can We Change Our ISO Certification Body?

▣ Recommended Transfer Timing and Practical Guidance

Transfers can be requested at any time during the certification validity period, but aligning them with scheduled audits is most efficient.

OPTION A

- **Align with Surveillance Audit**
  - Preliminary review + surveillance audit
  - Retain existing 3-year cycle
  - Apply 2–3 months before the audit

OPTION B

- **Align with Recertification Audit**
  - Preliminary review + recertification audit
  - Start a new 3-year cycle
  - Apply 2–3 months before expiry

*※ **The new certification body** must complete the transfer review before conducting the surveillance or recertification audit. Submitting the required documents 2–3 months in advance helps ensure a smooth transfer.*

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Can We Change Our ISO Certification Body?

▣ Mandatory Pre-Transfer Checklist

Review the following items before submitting a certification transfer application.

1 Verify Certificate Eligibility and Status

Valid Accredited Certification

A valid certificate under IAF MLA or regional MLA accreditation is required.

Suspended Certification

Transfers are not permitted until the suspension is lifted.

Open Major Nonconformities

Confirm corrective actions are completed and approved before certificate issuance.

Minor Nonconformities

Submit corrective action plans to the new certification body for review.

2 Required Documents for Transfer

Required Document	Notes
Copies of valid ISO certificates and annexes	**Mandatory verification**
Most recent surveillance audit report	**Recertification report, if applicable**
Records of open nonconformities and corrective actions	Evidence of corrective actions
Copy of business registration certificate	Verify current information

3 Important Practical Consideration:

Obtain prior confirmation from the existing certification body.

Before transferring, confirm the contractual relationship and cooperation in issuing or providing the necessary audit reports to avoid administrative delays.

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Can We Change Our ISO Certification Body?

▣ From Application to New Certificate Issuance

A one-stop process covering document preparation, on-site audits, and recertification.

STEP 01

Document Submission

Submit the application, valid certificate, latest audit report, and organizational details.

STEP 02

Preliminary Review

Verify certificate validity, scope, nonconformities, and legal compliance.

STEP 03

Transfer Approval and Audit

Plan and conduct the surveillance or recertification audit.

STEP 04

New Certificate Issuance

Issue a new certificate retaining the original registration date and certification cycle.

※ Upon issuance, the certificate number and certification body mark will change. Update certification marks on public websites, customer/supplier portals, company websites, and brochures as needed.

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Can We Change Our ISO Certification Body?

▣ Practical FAQ on Certification Transfer

Three common concerns and practical solutions

Q1 What if the existing certification body does not provide audit reports?

A. Without the required audit reports, the transfer may be rejected, requiring new Stage 1 and Stage 2 audits. Confirm your contractual rights to access records and discuss alternatives with the new certification body in advance.

Q2 What if the surveillance audit deadline passes during transfer?

A. If responsibility for the surveillance audit is unclear, the certificate may be at risk of suspension. Confirm the audit schedule with the new certification body before applying for transfer.

Q3 Can multiple ISO certifications, such as ISO 9001 and ISO 14001, be transferred together?

A. Yes. However, certification cycles, audit schedules, and open nonconformities may differ by standard. Submit all certificates and latest audit reports for a preliminary review of integrated audit eligibility.

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Can We Change Our ISO Certification Body?

ICR System Certification Services: Supporting Certification Continuity

Certification transfer can maintain continuity while improving audit quality and service satisfaction.

Based on IAF MD 2, ICR supports a smooth transfer and stable management system operation.

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